

Please type a plus sign (+) inside this box → ☒

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

TI-25464.1

First Named Inventor or Application Identifier

Aditi Banerjee

Express Mail Label No.

EV333318639US

Title

Capacitor and Memory Structure and Method

On Page 1 of the specification, before line 1, insert --This application is a divisional of 09/049,591
filed on 3/27/1998.

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents

ADDRESS TO:

 Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

 1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
 (Submit an original, and a duplicate for fee processing)

 6. ☐ Microfiche Computer Program (Appendix)

 2. ☒ Specification [Total Pages **10**]

 7. Nucleotide and/or Amino Acid Sequence Submission
 (if applicable, all necessary)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identical of above copies

 3. ☒ Drawing(s) (35 USC d113) [Total Sheets **12**]

 4. Oath or Declaration [Total Pages **2**]

- a. ☐ Newly Executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR §1.63(d))
 (for continuation/divisional with Box 17 completed)

[Note Box 5 below]

- i. ☐ **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s)
 named in the prior application,
 see 37 CFR §1.63(d)(2) and 1.33(b).

 5. ☐ Incorporation By Reference (useable if Box 4b is checked)
 The entire disclosure of the prior application, from which a copy of
 the oath or declaration is supplied under Box 4b, is considered as
 being part of the disclosure of the accompanying application and is
 hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

- 8. ☐ Assignment Papers (cover sheet & Documents(s))
- 9. ☐ 37 CFR §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- 10. ☐ English Translation Document (if applicable)
- 11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- 12. ☒ Preliminary Amendment
- 13. ☒ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
- 14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application
 Status still proper and desired (PTO/SB/09-12)
- 15. ☐ Certified Copy of Priority Document(s)
 if foreign priority is claimed
- 16. ☐ Other:

 *A new statement is required to be entitled to pay small entity fees, except
 where one has been filed in a prior application and is being relied upon.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/049,591.

Prior application information: Examiner B.Keshavan Group / Art Unit: 2823

18. CORRESPONDENCE ADDRESS



Customer Number or Bar Code Label

23494

(Insert Customer No. or Attach bar code label here)



Correspondence address below

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Name (Print/Type)

Carlton H. Hoel

Registration No. (Attorney/Agent)

29,934

Signature

Date

2/24/2004

Date Filed: 02/24/2004

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL
For FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision

☐ Applicant claims small entity status. See 37 CFR 1.27**Complete if Known**

Application Number	09/049,591
Filing Date	3/27/1998
First Named Inventor	Aditi Banerjee
Examiner Name	B.Keshavan
Art Unit	2823
Attorney Docket No.	TI-25464.1

TOTAL AMOUNT OF PAYMENT**\$770****METHOD OF PAYMENT (Check all that apply)**
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ Credit Card
☒ Deposit Account:Deposit Account Number
Deposit Account Name

20-0668

Texas Instruments Incorporated

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
FEE CALCULATION**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	\$770
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)**\$770****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
3	-20 **	0 X \$18	0
1	-3 **	0 X \$86	0
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)**\$0**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES****Large Entity****Small Entity**


Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fees Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	205	Extension for reply within second month	
1253	950	2253	465	Extension for reply within third month	
1254	1,480	2254	725	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	160	Notice of Appeal	
1402	330	2402	160	Filing a brief in support of an appeal	
1403	290	2403	140	Request for Oral Hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	650	Petition to revive - unintentional	
1501	1,330	2501	650	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	315	Plant issue Fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	375	Filing a submission after final rejection (37 CFR 1.129(b))	
1810	770	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	375	Request for Continuation Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)**(\$)'s****SUBMITTED BY**

(Complete (if applicable))

Name (Print/Type)	Carlton H. Hoel	Registration No. (Attorney/Agent)	29,934	Telephone	(972) 917-4365
Signature		Date	2/24/2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THE ADDRESS.

SEND TO: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.